

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)

ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

09/936019

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE 20 March 2000 (20.03.00)

PRIORITY DATE CLAIMED

30 March 1999 (30.03.99) PCT/US00/06418 PROTEASE RESISTANT FLINT ANALOGS TITLE OF INVENTION: APPLICANT(S) FOR DO/EO/US: Radmila Micanovic, Radhakrishnan Rathnachalam, and Derrick Ryan Witcher Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed g priority date. SUD DI A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. h. is not required, as the application was filed in the United States Receiving Office (RO/US). c. A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. X b. have not been made; however, the time limit for making such amendments has NOT expired. c. have not been made and will not be made. d. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. X A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an 10. Х English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A FIRST preliminary amendment. X A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. Other items or information: 1.821 Statement w/Sequence Listing and Disk 16.

[PAGE 1 OF 2]

17. X The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.482(a)) paid to USPTO and International Search Report not prepared by the EPO or IPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International preliminary examination fee (37 CFR 1.482) not paid to USPTO USPTO but international preliminary examination fee (37 CFR 1.482) not paid to USPTO USPTO but international preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims did not saistify provisions of PCT Article 33(1)-(4)	U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10 1 2 3 6 0 1 9 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						X-13161		
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Neither international preliminary examination fee (37 CFR 1482) nor international search fee (37 CFR 1485(0)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO]	17. 2. 1 10							
but International Search Report prepared by the EPO or JPO		Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)		International preliminary examination fee (37 CFR 1.482) not paid to USPTO							
but all claims did not saisfy provisions of PCT Article 33(1)-(4)\$690.00 International preliminary examination fee paid to USPTO (37 CRF		International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00							
ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00 Surcharge of \$130.00 for furnishing the coath or declaration later than _20 _30		International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00							
Surcharge of \$130.00 for furnishing the oath or declaration later than2030 \$ months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 16 -20 =		International preliminary examination fee paid to USPTO (37 CRF 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00							
Surcharge of \$130.00 for furnishing the oath or declaration later than2030 \$ months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 16-20=			ENITED ADDDA	OPRIATE RASIC I	EEE AMOUNT =	\$	860.00		
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Total claims 16-20=	*	months from the earlie	st claimed priority da	ite (3/ CFR 1.492(e)).		+		<u> </u>	
Independent claims A-3=	-					\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	71				X \$80.00		80.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). Processing fee of \$130.00 for furnishing English translation later than 20 30			1 7		+ \$270.00	<u> </u>	-		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). Processing fee of \$130.00 for furnishing English translation later than2030		WOETH EE DEI END			LCULATIONS =	\$	80.00		
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SUBTOTAL = \$ 940.00		must also be filed (Note 37 CFR 1.9, 1.27, 1.28).							
months from the earliest claimed priority date (37 CFR 1.492(1)). TOTAL NATIONAL FEE = \$ 940.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **TOTAL FEES ENCLOSED = \$ 940.00 Amount to be refunded charged \$ a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 05-0840 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. **SEND ALL CORRESPONDENCE TO: ELI LILLY AND COMPANY PATENT DIVISION/1104 LILLY CORPORATE CENTER INDIANAPOLIS, INDIANA 46285 **Thomas D. Webster NAME** 7 \$\(\text{120} \) \(\text{100} \) \(1	SUBTOTAL =					_	940.00		
TOTAL NATIONAL FEE = \$ 940.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 940.00 Amount to be \$ refunded charged \$ a.	*	Processing fee of \$130.00 for furnishing English translation later than2030					•		
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[PAGE 2 OF 2]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Radmila Micanovic

Radhakrishnan Rathnachalam

Derrick Ryan Witcher

International Application No.: PCT/US00/06418

Filed: 20 March 2000 (20.03.00)

Invention Title: PROTEASE RESISTANT FLINT ANALOGS

Lilly Reference:

X-13161

Earliest Priority Date: 30 March 1999 (30.03.99)

Certificate Under 37 C.F.R. § 1.10

Attention: DO/EO

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir/Madam:

"Express Mail" mailing label number: EL 230530360 US

Date of Deposit: Sept 7 2001

I hereby certify that the following attached paper or fee

Transmittal Letter to the United States Designated/Elected Office (US) concerning a filing under 35 U.S.C. 371 of the International Application identified above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

(Typed or printed name of person mailing paper)

(Signature of person mailing paper or fee)